



PTO/SB/08A (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application No/Application No	09/665,018/7,178,166
Filing Date	September 19, 2000
First Named Inventor	Patrick Taylor
Art Unit	2136
Examiner Name	Carl G. Colin
Attorney Docket Number	05456.105007

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	1.	WO 02/101516	12-19-2002	Gupta et al.	Pg. 17, Lines 10-13; Pg. 30, Lines 23-27; Pg. 34, Lines 14-18	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	2.	CROSBIE, MARK et al., Active Defense of a Computer System Using Autonomous Agents, COAST Group Dept. of Computer Sciences Purdue, 1995, No. 95-008, "citeseer.ist.psu.edu/138521.html" [Pertinent: Pgs. 1-2, paragraph 1]	
	3.	DENNING, D.E., An Intrusion-Detection Model, Software Engineering, IEEE Transactions on: Vol. SE-13, Issue 2, Feb. 1987 Pgs. 222-232. [Pertinent: Pgs. 1-2, paragraph 1]	
	4.	LINDQVIST, U. et al., eXpert-BSM: a host-based intrusion detection solution for Sun Solaris, Computer Security Applications Conference, 2001. ASCAC 2001, Proceedings 17 th Annual, Dec. 10-14, 2001, Pgs. 240-251. [Pertinent: Pgs. 6-9, paragraph 4]	
	5.	DEBAR, H. et al., A Revised Taxonomy for Intrusion-Detection Systems, IBM Research Report, 1999. [Pertinent: Pages 14-16, Paragraph 7]	
	6.	ICE Cap Administrator's Guide Version 1.0 BETA, NETWORK ICE, 1999, Network Ice Corporation. [Pertinent pages 37-39, paragraph 5]	
	7.	TENG, H.S., et al., Adaptive Real-Time Anomaly Detection Using Inductively Generated Sequential Patterns, Research in Security and Privacy, 1990., Proceedings., 1990 IEEE Computer Society Symposium on May 7-9, 1990, pages 278-284. [Pertinent: Pages 279-282, paragraph 3]	
	8.	CUPPENS, FREDERIC, Cooperative Intrusion Detection, ONERA Centre de Toulouse (funded by the DGA/CASSI). [Pertinent: Pages 1-2, paragraph 1]	
	9.	MUKHERJEE, B. et al., NETWORK INTRUSION DETECTION, IEEE Network Magazine: May/June 1994, Volume 8, Issue: 3, pages 26-41 [Pertinent: Pages 32-33]	
	10.	KUMAR, SANDEEP, et al., An Application of Pattern Matching in Intrusion Detection, Technical Report 94-013, Department of Computer Sciences, Purdue University, March 1994, http://citeseer.ist.psu.edu/kumar94application.html . [Pertinent: Pages 1-4, paragraphs 1-2].	
	11.	JOE, FRANK Y., et al., Architecture Design of a Scalable Intrusion Detection System for the Emerging Network Infrastructure, DARPA, Order Number: E296, April 1997, http://citeseer.ist.psu.edu/joe97architecture.html . [Pertinent: pages 31-34, paragraphs 4.1.5-4.2]	
	12.	NACEHNBERG, CAREY STOVER, A New Technique for Detecting Polymorphic Computer Viruses, University of California, Los Angeles, 1995, pages 1-132. [Pertinent: Pages 70-75, Paragraphs 6-6.1]	
Examiner Signature		Date Considered	